



To be submitted along with the Application Form: 1. Your FATCA Details (Foreign Account Tax Compliance Act) & KYC Additional Details (if not already submitted), and 2. Ultimate Beneficial Owner(s) (UBO) information (for non individuals only). Please quote the Central KYC (CKYC) number in the boxes provided above or submit your filled-in CKYC Form in case of new investor and additional CKYC form in case of existing investors irrespective of the investment amount. The forms are available on our website.

Table with 5 columns: Distributor's ARN & Name, Sub-broker's ARN (Code), Sub-broker Code (internal), EUIN\* (Employee Unique Identification Number), Registered Investment Adviser (RIA) Code

\* Declaration for "Execution only" transaction (only where EUIN box is left blank) I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Form with three columns: First/Sole Applicant/Guardian, Second Applicant, Third Applicant

Folio No. [Grid]

Name of First/Sole Applicant (Please use capital Letters) [Grid]

Name of Guardian in case First / Sole Applicant is a Minor (capital Letters) [Grid]

Permanent Account Number (PAN), Aadhaar Card Number, Central KYC Number, CKYC Proof attached (Mandatory) [Grid]

DEMAT Account Details\* (\*Mandatory)

Form with checkboxes: National Securities Depository Ltd., Central Depository Services (India) Ltd., Depository Participant, DP ID Number, Beneficiary Account Number

Investor holding units in Demat form, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the Transaction slip.

Switch Out on Maturity Facility-Switch will be processed subject to successful reconciliation with the BenPos file received from Depositories.

At the time of application, the investor who holds units in demat mode has the option whereby all units under the scheme shall be switched on maturity into any of the Schemes of Sundaram Mutual Fund as chosen by the investor. Switch-out carried out by the Mutual Fund in accordance with this option chosen by the investor shall be deemed as redemption from the maturing scheme and subscription to the other scheme chosen by the investor. Units will be credited into the above Demat Account.

I/We wish to switch out all units on maturity of the Scheme to the Target Scheme mentioned below.

From Scheme: [Grid] TO Target Scheme: Sundaram.....Fund. Plan: Regular, Direct. Option: Growth, Dividend Payout, Dividend Re-Investment, Dividend Sweep (Target Scheme).

(As a default option the units shall be fully redeemed on the date of maturity and redemption proceeds shall be despatched to the address/credited to the bank account of the unit holder within 10 business days from the date of maturity). I/We have read and understood the terms and conditions applicable to the switch on maturity facility and am/are fully aware of the risk associated with such event. I/We have read and understood the Scheme Information Document (SID)/Statement of Additional Information (SAI) and Key Information Memorandum (KIM) of the Target Scheme and have understood the investment objectives, investment pattern and risk factors applicable to the Target Scheme. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in opting the switch on maturity facility.

Signature of investor(s) [Grid] with columns for 1st / Sole Applicant / Guardian, 2nd Applicant, 3rd Applicant

Turn overleaf for Declaration & Signature (Mandatory) ->->->

Acknowledgement section with Folio No., Request Date, Time Stamp/Seal, and From/Target Scheme details.

## Switch on Maturity for units held in Demat-Transaction Slip

**Dematerialization :** Investors have the option to hold the Units in dematerialized form. Currently, this facility is not available in case of units offered under the Daily/Weekly/Fortnightly Dividend Option(s) under all income/debt/liquid Schemes. Investors who want an allotment of units in demat mode must have a beneficiary account with a Depository Participant (DP) of the Depositories i.e. National Securities Depositories Limited (NSDL) / Central Depository Services Limited (CDSL). If PAN is not mentioned by applicants, the application may be rejected. Investors may attach a copy of the Client Master Form / DP statement showing active demat account details for due verification. Names, mode of holding, PAN/Aadhaar details, etc. of the investor will be verified against the Depository data. The units will be credited to the beneficiary (demat) account only after successful verification with the depository records and realization of payment. In case the demat details mentioned in the application are incomplete/incorrect or do not match with the depository data, the application shall be treated as invalid for processing under demat mode and therefore may be considered for processing in non-demat form i.e. in physical mode if the application is otherwise valid.


**Declaration:** I/We have read and understood the terms and conditions applicable to the switch on maturity facility and am/are fully aware of the risk associated with such event. I/We have read and understood the Scheme Information Document (SID)/ Statement of Additional Information (SAI) and Key Information Memorandum (KIM) of the Target Scheme and have understood the investment objectives, investment pattern and risk factors applicable to the Target Scheme. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in opting the switch on maturity facility.

I/We • having read and understood the contents of the Statement of Additional Information/Scheme Information Document/addenda issued to the SID and KIM till date • hereby apply for units under the scheme(s) as indicated in the application form / transaction slip • agree to abide by the terms, conditions, rules and regulations of the scheme(s) • have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

**Applicable to NRIs only:** Please (✓)  I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/Ordinary Account/FCNR Account on a  Repatriation Basis  Non-Repatriation Basis.

I/We hereby declare that all the particulars given herein are true, correct and complete to the best of my/our knowledge and belief. I/ We further agree not to hold Sundaram Asset Management, its sponsor, their employees, authorised agents, service providers, representatives of the distributors liable for any consequences/losses/costs/damages in case of any of the above particulars being false, incorrect or incomplete or in case of my/our not intimating/delay in intimating any changes to the above particulars. I/We hereby authorise Sundaram Asset Management to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/us, to any Indian or foreign governmental or statutory or judicial authorities/agencies, the tax/revenue authorities and other investigation agencies and SEBI registered intermediaries without any obligation of advising me/us of the same. I/We hereby agree to provide any additional information/documentation that may be required in connection with this application.

### Signature

		
First / Sole Applicant / Guardian	Second Applicant	Third Applicant

Request Date



Contact No.: 1860 425 7237 (India)  
+91 40 2345 2215 (NRI)

SMS SFUND to 56767

E-mail: customerservices@sundarammutual.com  
(NRI): nriservices@sundarammutual.com

[www.sundarammutual.com](http://www.sundarammutual.com)

Sundaram Mutual Fund